

Resource analysis of a C program by analyzing its Horn clause representation

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Joint work with

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Resource analysis can answer...

```
main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
4.        else  
5.            while (b>0)  
6.                b--;  
7.        a--; }  
8.    return 0;  
}
```

- Amount of resource consumed by this program
- Part of code responsible for the highest amount of resource consumption
- Resource consumed by the inner loop etc.
- Resource = time, energy, memory etc.

Example

```
main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
4.        else  
5.            while (b>0)  
6.                b--;  
7.        a--; }  
8.    return 0;  
 }
```

- Challenging problem: for the state of the art resource analysis tools (CiaoPP, PUBS etc.)
- The counter for the inner loop is conditionally increased by the outer one
- Path sensitive reasoning is necessary to derive a tight bound

Bound

```
main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
4.        else  
5.            while (b>0)  
6.                b--;  
7.        a--; }  
8.    return 0;  
}
```

- Outer loop: can be executed at most c times
- The counter for the inner loop can be incremented by outer at most c times
- So inner loop can be executed at most $c+d$ times

Bound= $c+d$

How to derive this linear bound automatically?

What information is necessary?

```
main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
4.        else  
5.            while (b>0)  
6.                b--;  
7.        a--; }  
8.    return 0;  
}
```

- ranking functions of the loops
- The effects of the outer loop (increment or decrement) on the ranking function of the inner one (invariants)
- Invariants of the program

Analysis

- We base our analysis on some intermediate representation called **Horn clauses** rather than on the source language
- The use **Horn clauses** allows reuse of the Horn clause tools (e.g., to find invariants);
- The use of Horn clause representation makes it easier to compute **ranking functions** using off the shelf tool (e.g., PPL)

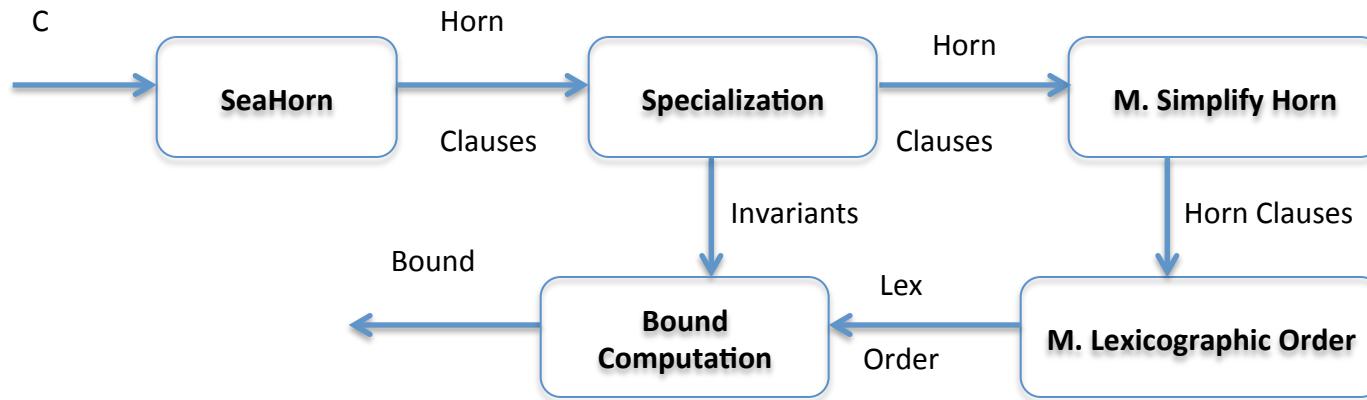
Our approach

Program invariants

Ranking functions

Bound computation

Architecture of our tool-kit



[1] Moritz Sinn, Florian Zuleger, Helmut Veith:
A Simple and Scalable Static Analysis for Bound Analysis and Amortized Complexity
Analysis. CAV 2014

Horn clause

$$p(X) \leftarrow \phi \wedge p_1(X_1) \wedge \dots \wedge p_k(X_k)$$

Linear clause (Transition system)

$$p(X') \leftarrow \phi \wedge q(X)$$

$$p(X') \leftarrow \phi$$

Restricting the shape of constraints

$$p(X') \leftarrow X' \leq X + K \wedge q(X), K \in \mathbb{Z}^n$$

Horn clauses

Restricting the shape of constraints

$$p(X') \leftarrow X' \leq X + K \wedge q(X), K \in \mathbb{Z}^n$$

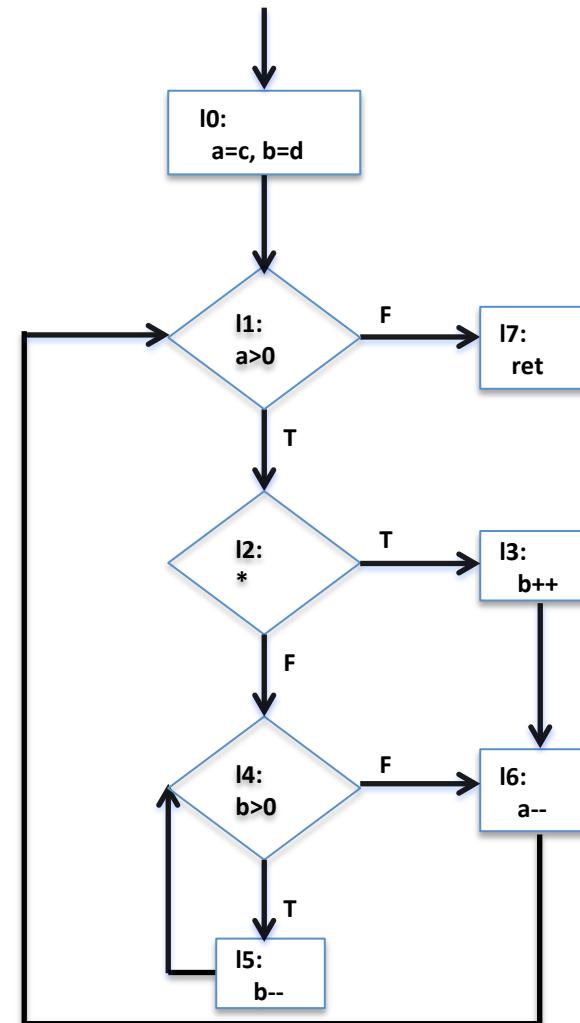
Allowing parameters

$$p(X') \leftarrow X' \leq X + K \wedge q(X), k_i \in \mathbb{Z} \cup Params$$

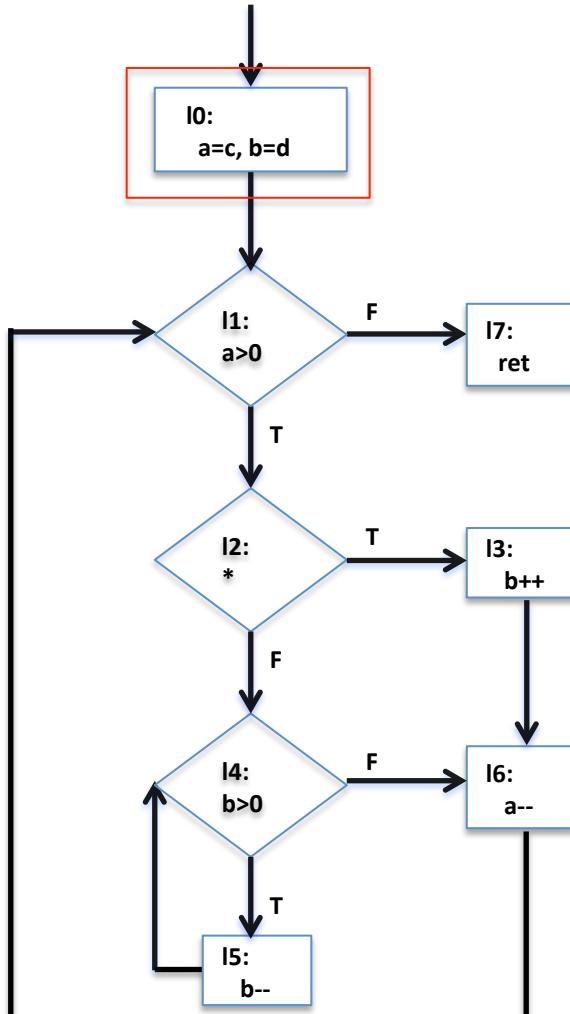
Params are program's input params eg. c and d

Horn clause generation (1)

```
main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
        else  
4.            while (b>0)  
5.                b--;  
6.            a--; }  
7.    return 0;  
}
```



Horn clauses Generation (2)



$p0(A, B, C, D) \leftarrow \text{true}.$

$p1(A, B, C, D) \leftarrow A=C, B=D, C \geq 0, D \geq 0,$
 $p0(A, B, C, D).$

$p1(A, B, C, D) \leftarrow A=A-1, p6(A, B, C, D).$

$p2(A, B, C, D) \leftarrow A > 0, p1(A, B, C, D).$

$p3(A, B, C, D) \leftarrow p2(A, B, C, D).$

$p4(A, B, C, D) \leftarrow p2(A, B, C, D).$

$p4(A, B, C, D) \leftarrow B=B-1, p5(A, B, C, D).$

$p5(A, B, C, D) \leftarrow B > 0, p4(A, B, C, D).$

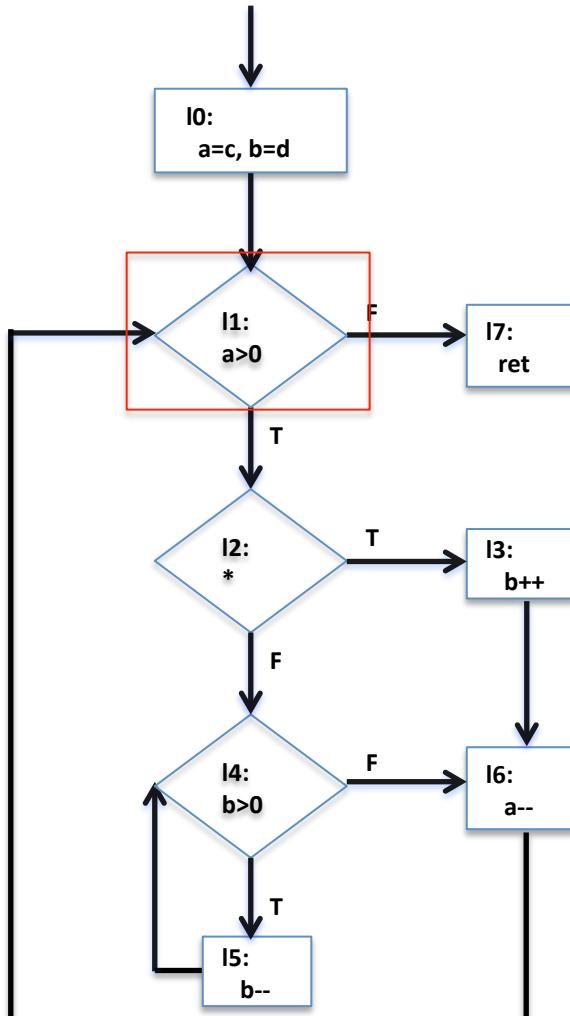
$p6(A, B, C, D) \leftarrow B < 0, p4(A, B, C, D).$

$p6(A, B, C, D) \leftarrow B=B+1, p3(A, B, C, D).$

$p7(A, B, C, D) \leftarrow A < 0, p1(A, B, C, D).$

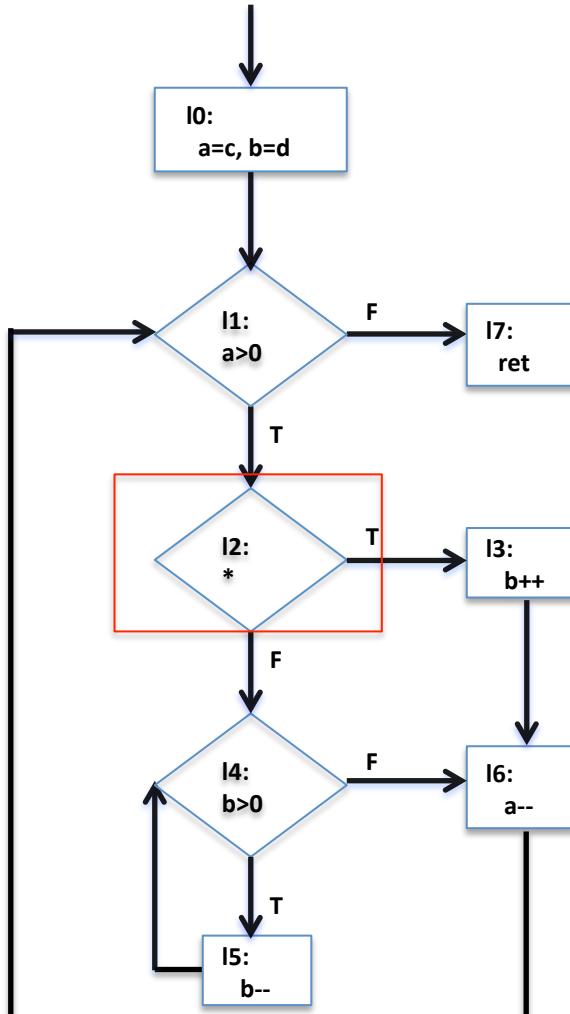
$\text{ret} \leftarrow p7(A, B, C, D).$

Horn clauses Generation



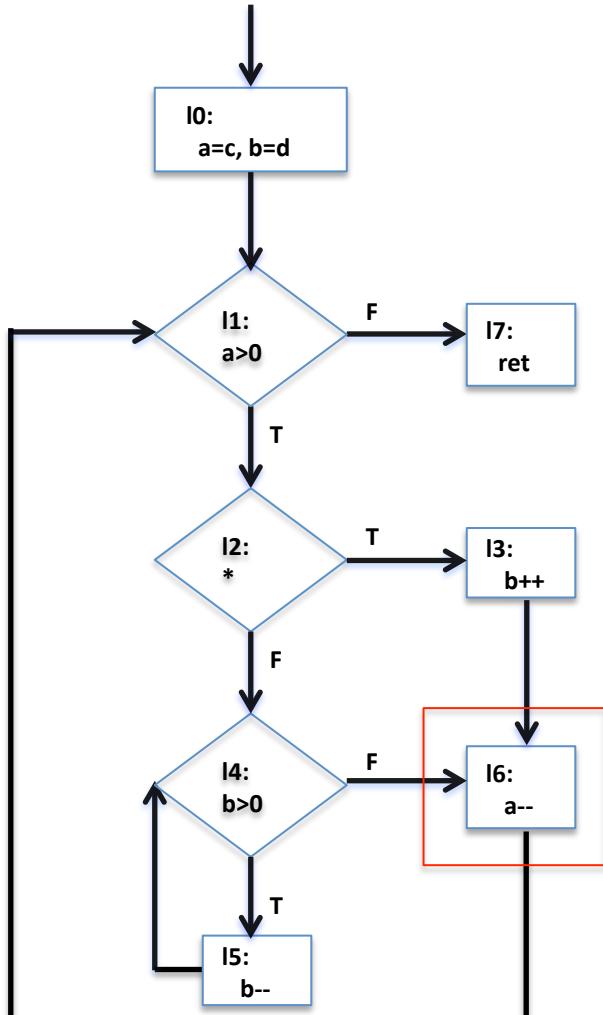
p0(A,B,C,D) \leftarrow true.
p1(A,B,C,D) \leftarrow A=C, B=D, C \geq 0, D \geq 0,
p0(A,B,C,D).
p1(A,B,C,D) \leftarrow A=A1-1, p6(A1,B,C,D).
p2(A,B,C,D) \leftarrow A $>$ 0, p1(A,B,C,D).
p3(A,B,C,D) \leftarrow p2(A,B,C,D).
p4(A,B,C,D) \leftarrow p2(A,B,C,D).
p4(A,B,C,D) \leftarrow B=B1-1, p5(A,B1,C,D).
p5(A,B,C,D) \leftarrow B $>$ 0, p4(A,B,C,D).
p6(A,B,C,D) \leftarrow B $<$ 0, p4(A,B,C,D).
p6(A,B,C,D) \leftarrow B=B1+1, p3(A,B1,C,D).
p7(A,B,C,D) \leftarrow A $<$ 0, p1(A,B,C,D).
ret \leftarrow p7(A,B,C,D).

Horn clauses Generation



$p0(A, B, C, D) \leftarrow \text{true}.$
 $p1(A, B, C, D) \leftarrow A=C, B=D, C \geq 0, D \geq 0,$
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 $p1(A, B, C, D) \leftarrow A=A1-1, p6(A1, B, C, D).$
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 $p5(A, B, C, D) \leftarrow B > 0, p4(A, B, C, D).$
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 $p7(A, B, C, D) \leftarrow A < 0, p1(A, B, C, D).$
 $\text{ret} \leftarrow p7(A, B, C, D).$

Horn clauses Generation



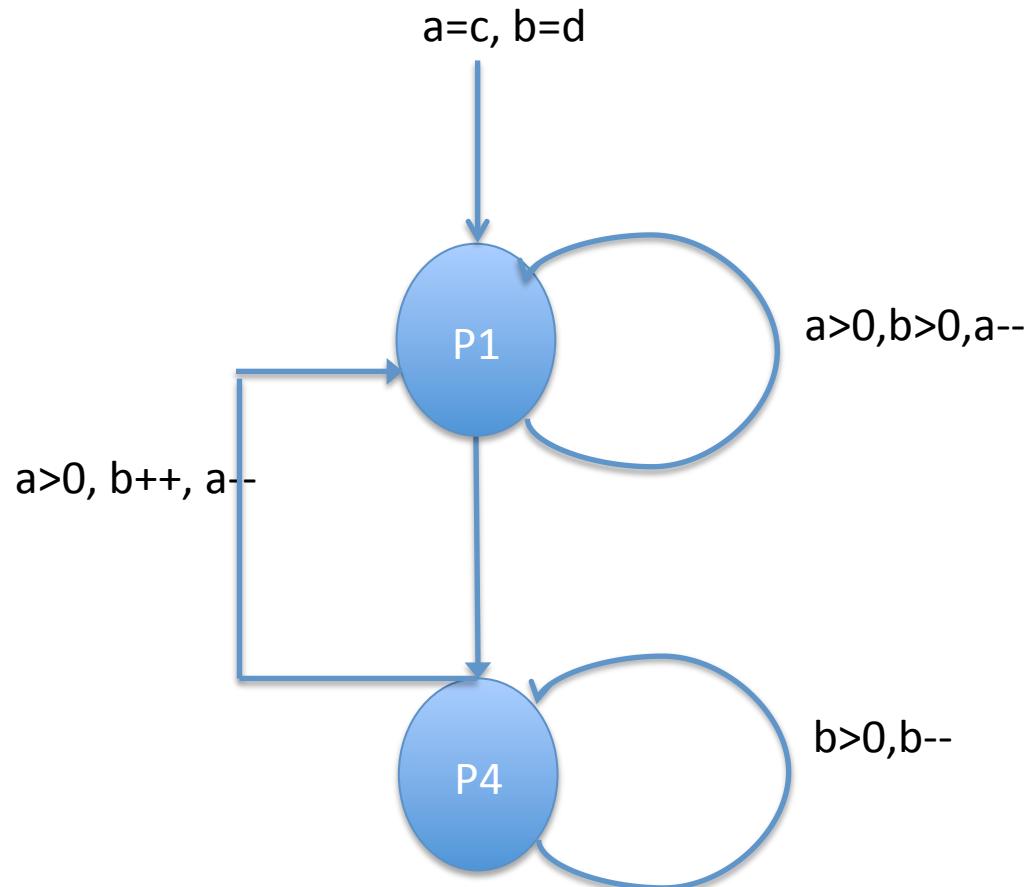
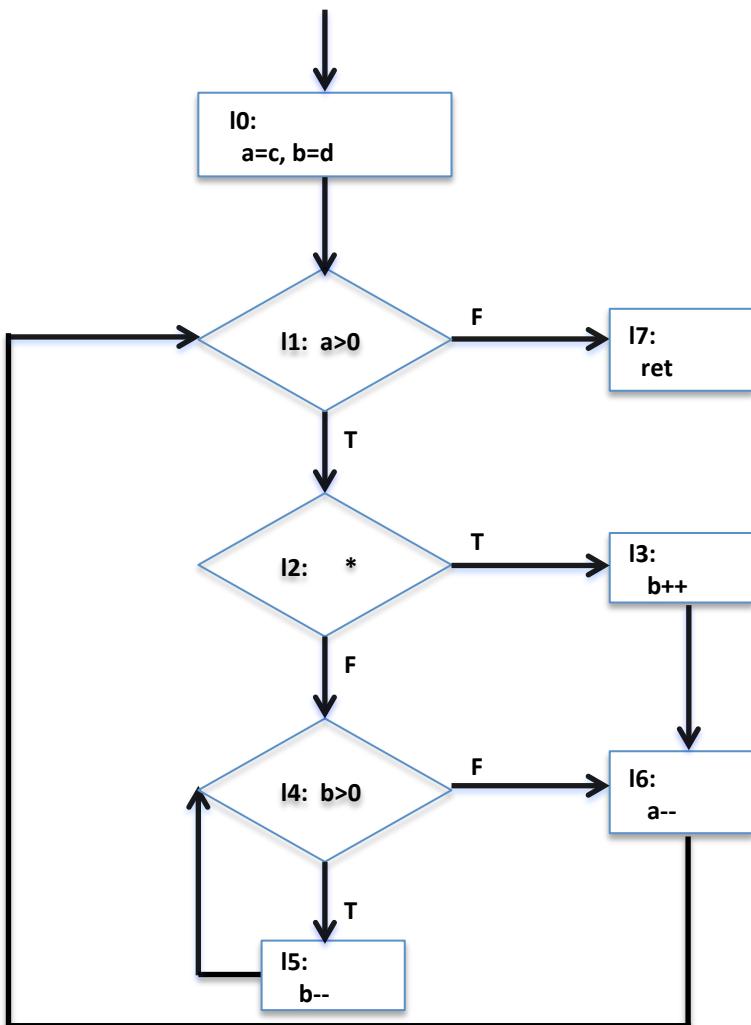
$p0(A, B, C, D) \leftarrow \text{true}.$
 $p1(A, B, C, D) \leftarrow A=C, B=D, C \geq 0, D \geq 0,$
 $\quad p0(A, B, C, D).$
 $p1(A, B, C, D) \leftarrow A=A-1, p6(A, B, C, D).$
 $p2(A, B, C, D) \leftarrow A > 0, p1(A, B, C, D).$
 $p3(A, B, C, D) \leftarrow p2(A, B, C, D).$
 $p4(A, B, C, D) \leftarrow p2(A, B, C, D).$
 $p4(A, B, C, D) \leftarrow B=B-1, p5(A, B, C, D).$
 $p5(A, B, C, D) \leftarrow B > 0, p4(A, B, C, D).$

$p6(A, B, C, D) \leftarrow B < 0, p4(A, B, C, D).$
 $p6(A, B, C, D) \leftarrow B = B+1, p3(A, B, C, D).$

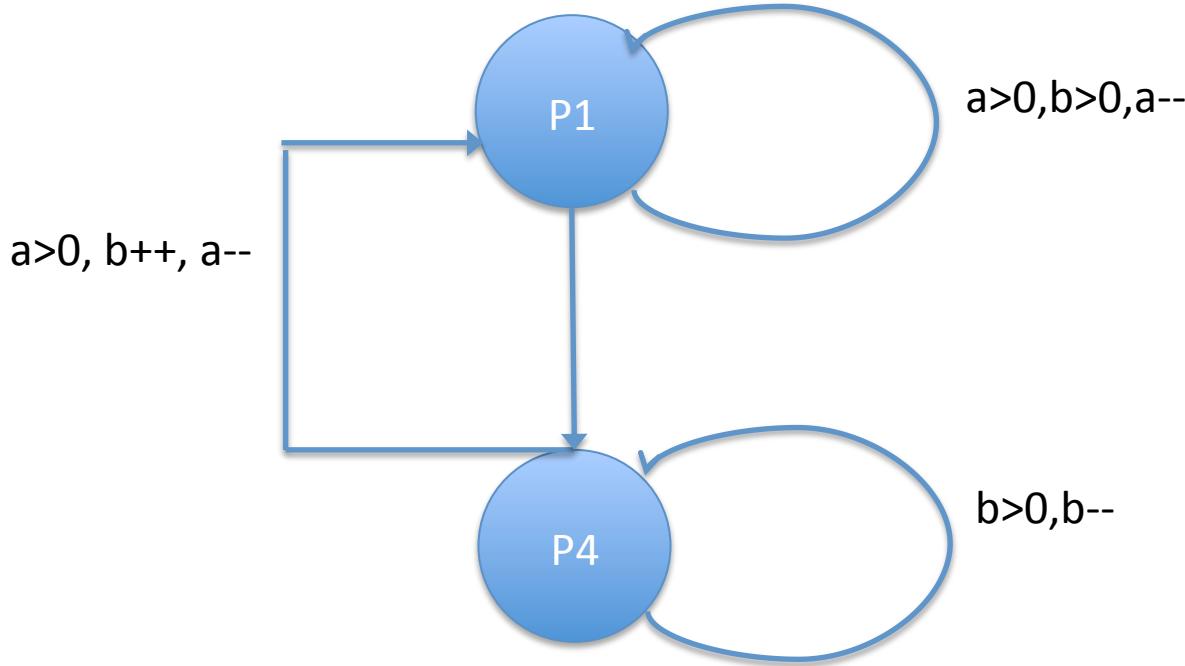
 $p7(A, B, C, D) \leftarrow A < 0, p1(A, B, C, D).$
 $\text{ret} \leftarrow p7(A, B, C, D).$

Clauses can be viewed as set of equations!

Single path linear constraint loops



Special form of Horn clauses (Abstraction of loops)



$p1(A, B, C, D) \leftarrow A = <E-1, B = <F+1, p1(E, F, C, D)$
 $p1(A, B, C, D) \leftarrow A = <E-1, B = <F, p1(E, F, C, D)$
 $p4(A, B, C, D) \leftarrow A = <E, B = <F-1, p4(E, F, C, D)$

Lexicographic ranking function

T1: $p_1(A, B, C, D) \leftarrow A = <E-1, B = <F+1, p_1(E, F, C, D)$

T2: $p_1(A, B, C, D) \leftarrow A = <E-1, B = <F, p_1(E, F, C, D)$

T3: $p_4(A, B, C, D) \leftarrow A = <E, B = <F-1, p_4(E, F, C, D)$

- $\langle A, A, B \rangle$
- Either A is decreasing; or
- B is decreasing and A is not increasing

Bound computation

T1: $p1(A, B, C, D) \leftarrow A = <E-1, B = <F+1, p1(E, F, C, D)$

T2: $p1(A, B, C, D) \leftarrow A = <E-1, B = <F, p1(E, F, C, D)$

T3: $p4(A, B, C, D) \leftarrow A = <E, B = <F-1, p4(E, F, C, D)$

InitVal: A=c, B=d

Lex: <A,A,B>

- $\text{Bound}(T1) = \text{InitVal}(A) = c$
- $\text{Bound}(T2) = \text{InitVal}(A) + \text{Bound}(T1) * \text{increment}(A, T1) = c + 0 = c$

Bound computation

T1: $p_1(A, B, C, D) \leftarrow A = <E-1, B = <F+1, p_1(E, F, C, D)$

T2: $p_1(A, B, C, D) \leftarrow A = <E-1, B = <F, p_1(E, F, C, D)$

T3: $p_4(A, B, C, D) \leftarrow A = <E, B = <F-1, p_4(E, F, C, D)$

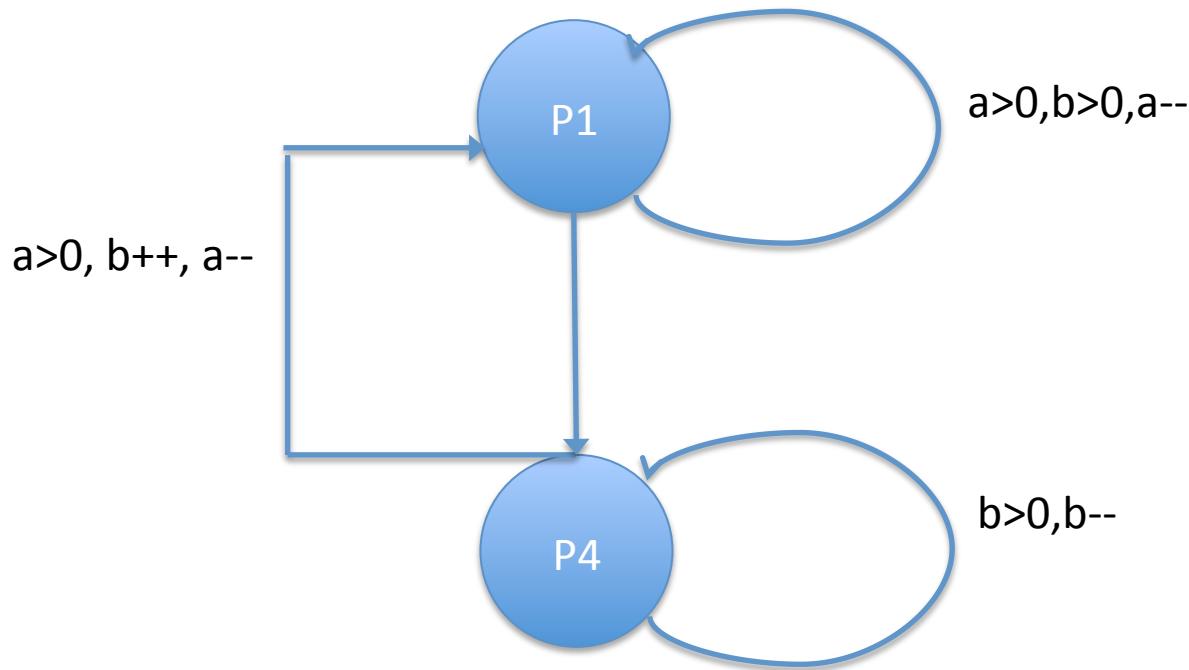
InitVal: $A=c, B=d$

Lex: $\langle A, A, B \rangle$

- $\text{Bound}(T3) = \text{InitVal}(B) + \text{Bound}(T1) * \text{increment}(B, T1) + \text{Bound}(T2) * \text{increment}(B, T2) = d + c * 1 + 0 = c + d$

Overall bound = max. bound of each transition = $c+d$

Resource consumption



$$\sum_{i \in Loops} \text{cost}_i * \text{bound}_i$$

Conclusions and Future work

- Resource analysis of C programs using Horn clauses

In the future:

- Inter-procedural bound analysis
- Integration with CiaoPP for precision and non-linear bounds

Thank you!

Questions & suggestions?