

Resource analysis of C program by analyzing its Horn clause representation

By: **Bishoksan Kafle** (Roskilde Univ.)

Joint work with

John P. Gallagher (Roskilde Univ.) and

Jorge A. Navas (NASA Ames)

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Resource analysis can answer...

```
main( uint c,  uint d){  
0.   int a=c,b=d;  
1.   while (a>0){  
2.     if (*)  
3.       b++;  
       else  
4.       while (b>0)  
5.         b--;  
6.     a--; }  
7.   return 0;  
}
```

- **Amount of resource** consumed by this program
- **Part of code** responsible for the highest amount of resource consumption
- Resource consumed by **the inner loop** etc.
- **Resource** = time, energy, memory etc.

Complexity Bound/Bound

- Understanding program performance
- **Symbolic expression** in terms of program's input parameters (**upper bound**)
- **Resource bound = bound * suitable resource measure**
- Useful for **resource analysis and verification**
Verifying if some energy budgets are met

Reusability

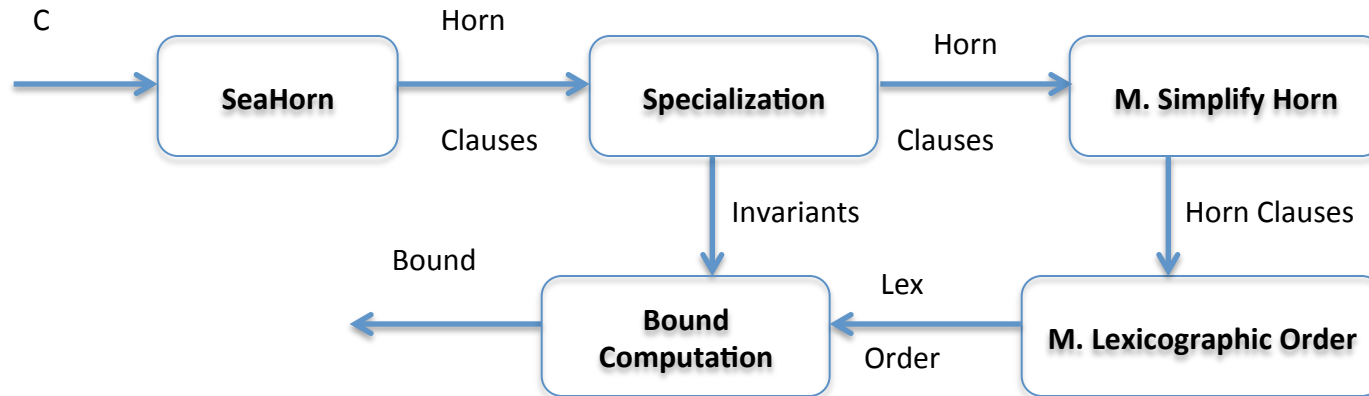
Can we reuse the work done in program verification and termination for bound analysis?

Do we have a common language on which we can base our analyses?

The answers are affirmative 😊

1. Our approach for bound analysis:
 - Program invariants** (verification)
 - Termination arguments** (termination)
 - Bound computation** (bound)
2. Horn clauses as a common language
 - ◆ **Prolog systems** are great tools to exploit Horn clauses

Architecture of our tool-chain



[1] Moritz Sinn, Florian Zuleger, Helmut Veith:
A Simple and Scalable Static Analysis for Bound Analysis and Amortized Complexity
Analysis. CAV 2014

Horn clause

$$p(X) \leftarrow \phi \wedge p_1(X_1) \wedge \dots \wedge p_k(X_k)$$

Linear clause (Transition system)

$$p(X') \leftarrow \phi \wedge q(X)$$

$$p(X') \leftarrow \phi$$

Restricting the shape of constraints

$$p(X') \leftarrow X' \leq X + K \wedge q(X), K \in \mathbb{Z}^n$$

Horn clauses

Restricting the shape of constraints

$$p(X') \leftarrow X' \leq X + K \wedge q(X), K \in \mathbb{Z}^n$$

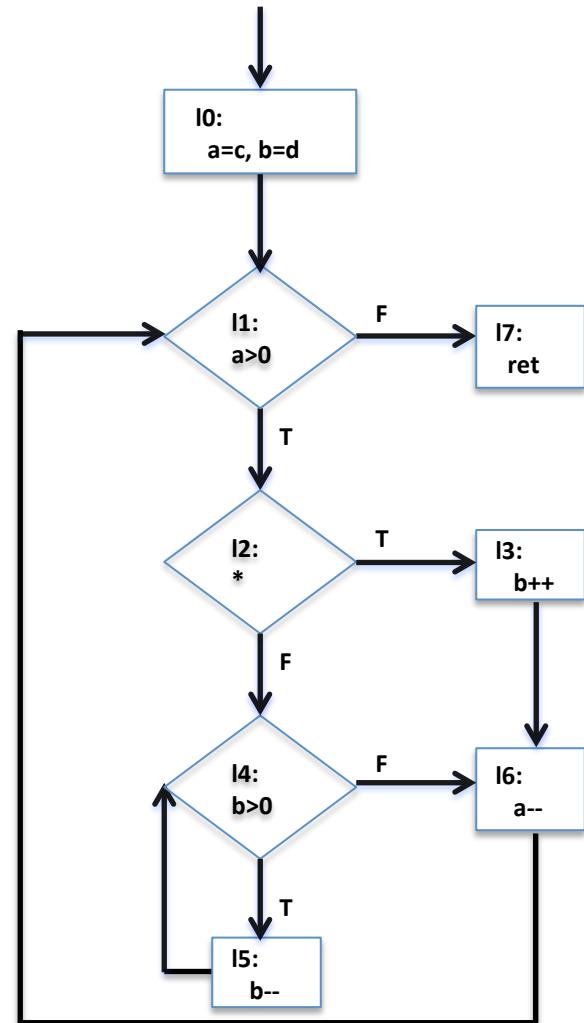
Allowing parameters

$$p(X') \leftarrow X' \leq X + K \wedge q(X), k_i \in \mathbb{Z} \cup Params$$

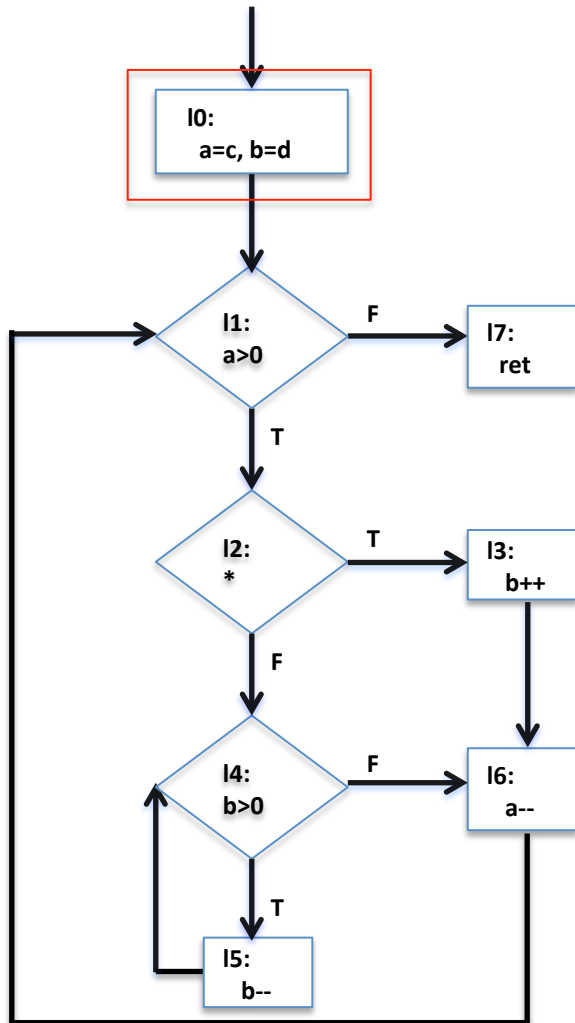
Params are program's input params eg. c and d

Horn clause generation (1)

```
main( uint c,  uint d){  
0.  int a=c,b=d;  
1.  while (a>0){  
2.    if (*)  
3.      b++;  
4.    else  
5.      while (b>0)  
6.        b--;  
7.    a--; }  
8.  return 0;  
9. }
```



Horn clauses Generation (2)



$p0(A,B,C,D) \leftarrow \text{true.}$

$p1(A,B,C,D) \leftarrow A=C, B=D, C \geq 0, D \geq 0, p0(A,B,C,D).$

$p1(A,B,C,D) \leftarrow A=A1-1, p6(A1,B,C,D).$

$p2(A,B,C,D) \leftarrow A > 0, p1(A,B,C,D).$

$p3(A,B,C,D) \leftarrow p2(A,B,C,D).$

$p4(A,B,C,D) \leftarrow p2(A,B,C,D).$

$p4(A,B,C,D) \leftarrow B=B1-1, p5(A,B1,C,D).$

$p5(A,B,C,D) \leftarrow B > 0, p4(A,B,C,D).$

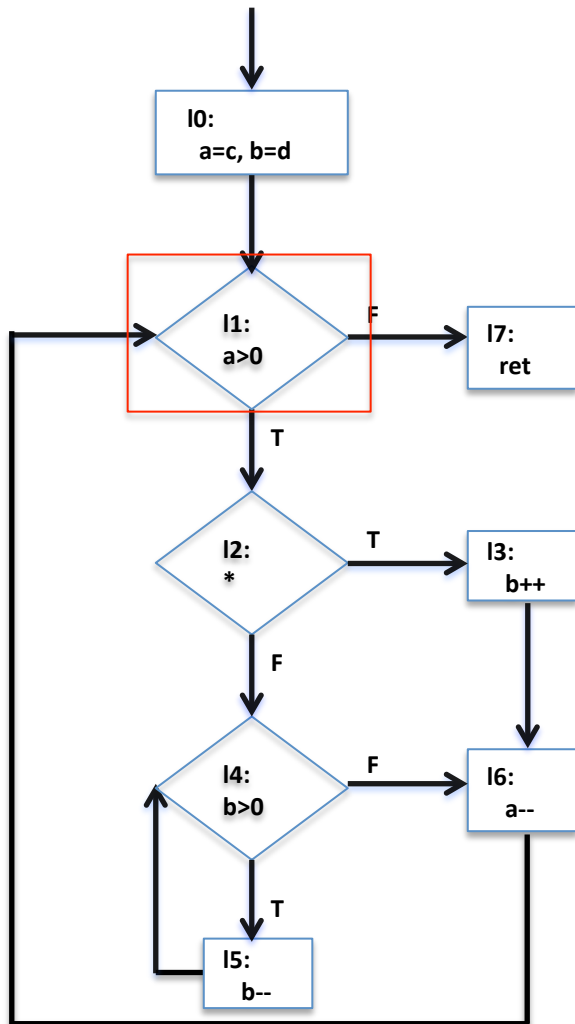
$p6(A,B,C,D) \leftarrow B = < 0, p4(A,B,C,D).$

$p6(A,B,C,D) \leftarrow B = B1+1, p3(A,B1,C,D).$

$p7(A,B,C,D) \leftarrow A = < 0, p1(A,B,C,D).$

$\text{ret} \leftarrow p7(A,B,C,D).$

Horn clauses Generation



$p_0(A,B,C,D) \leftarrow \text{true}.$

$p_1(A,B,C,D) \leftarrow A=C, B=D, C \geq 0, D \geq 0, p_0(A,B,C,D).$

$p_1(A,B,C,D) \leftarrow A=A_1-1, p_6(A_1,B,C,D).$

$p_2(A,B,C,D) \leftarrow A > 0, p_1(A,B,C,D).$

$p_3(A,B,C,D) \leftarrow p_2(A,B,C,D).$

$p_4(A,B,C,D) \leftarrow p_2(A,B,C,D).$

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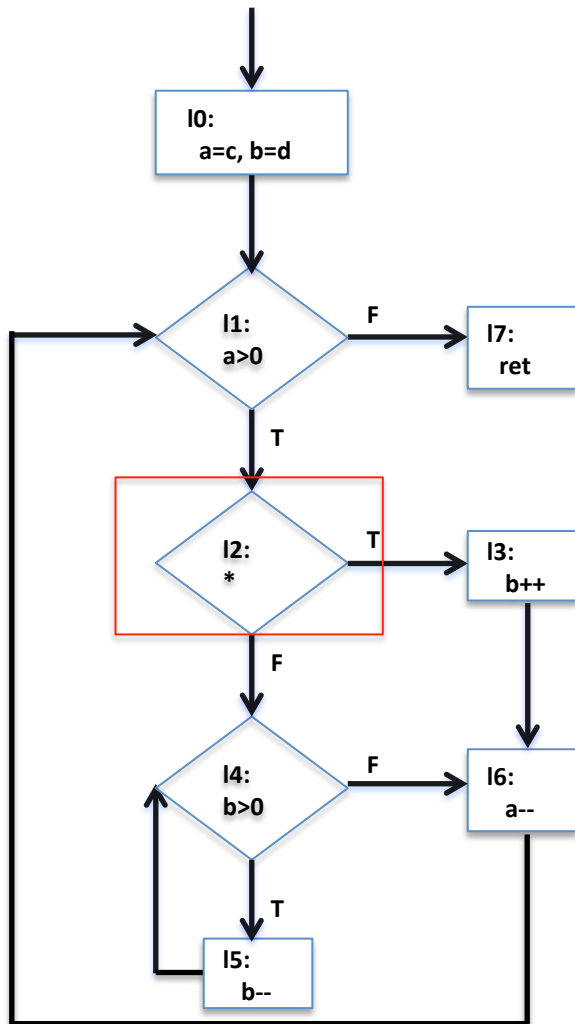
$p_6(A,B,C,D) \leftarrow B \leq 0, p_4(A,B,C,D).$

$p_6(A,B,C,D) \leftarrow B=B_1+1, p_3(A,B_1,C,D).$

$p_7(A,B,C,D) \leftarrow A \leq 0, p_1(A,B,C,D).$

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Horn clauses Generation



$p_0(A, B, C, D) \leftarrow \text{true}.$

$p_1(A, B, C, D) \leftarrow A=C, B=D, C \geq 0, D \geq 0,$
 $p_0(A, B, C, D).$

$p_1(A, B, C, D) \leftarrow A=A_1-1, p_6(A_1, B, C, D).$

$p_2(A, B, C, D) \leftarrow A > 0, p_1(A, B, C, D).$

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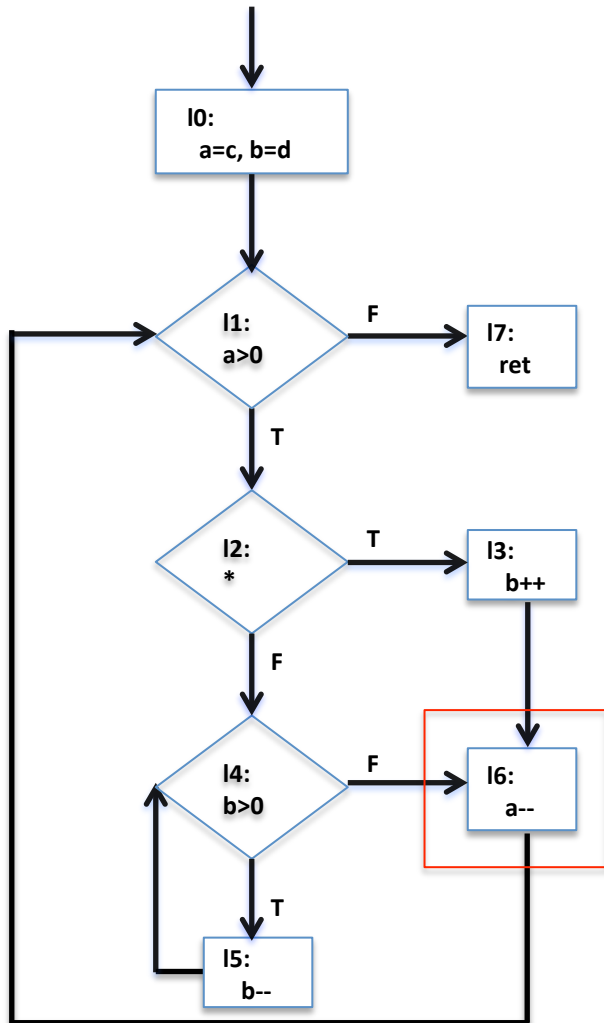
$p_6(A, B, C, D) \leftarrow B \leq 0, p_4(A, B, C, D).$

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$p_7(A, B, C, D) \leftarrow A \leq 0, p_1(A, B, C, D).$

$\text{ret} \leftarrow p_7(A, B, C, D).$

Horn clauses Generation



$p_0(A, B, C, D) \leftarrow \text{true}.$

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$p_4(A, B, C, D) \leftarrow B=B_1-1, p_5(A, B_1, C, D).$

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$p_6(A, B, C, D) \leftarrow B \leq 0, p_4(A, B, C, D).$

$p_6(A, B, C, D) \leftarrow B=B_1+1, p_3(A, B_1, C, D).$

$p_7(A, B, C, D) \leftarrow A < 0, p_1(A, B, C, D).$

$\text{ret} \leftarrow p_7(A, B, C, D).$

Clauses can be viewed as set of equations!

What is the bound of this program?

```
    main( uint c,  uint d){  
0.    int a=c,b=d;  
1.    while (a>0){  
2.        if (*)  
3.            b++;  
           else  
4.            while (b>0)  
5.                b--;  
6.        a--; }  
7.    return 0;  
    }
```

Example

```
main( uint c,  uint d){  
0.   int a=c,b=d;  
1.   while (a>0){  
2.     if (*)  
3.       b++;  
       else  
4.       while (b>0)  
5.         b--;  
6.     a--; }  
7.   return 0;  
}
```

Bound=c+d

Example

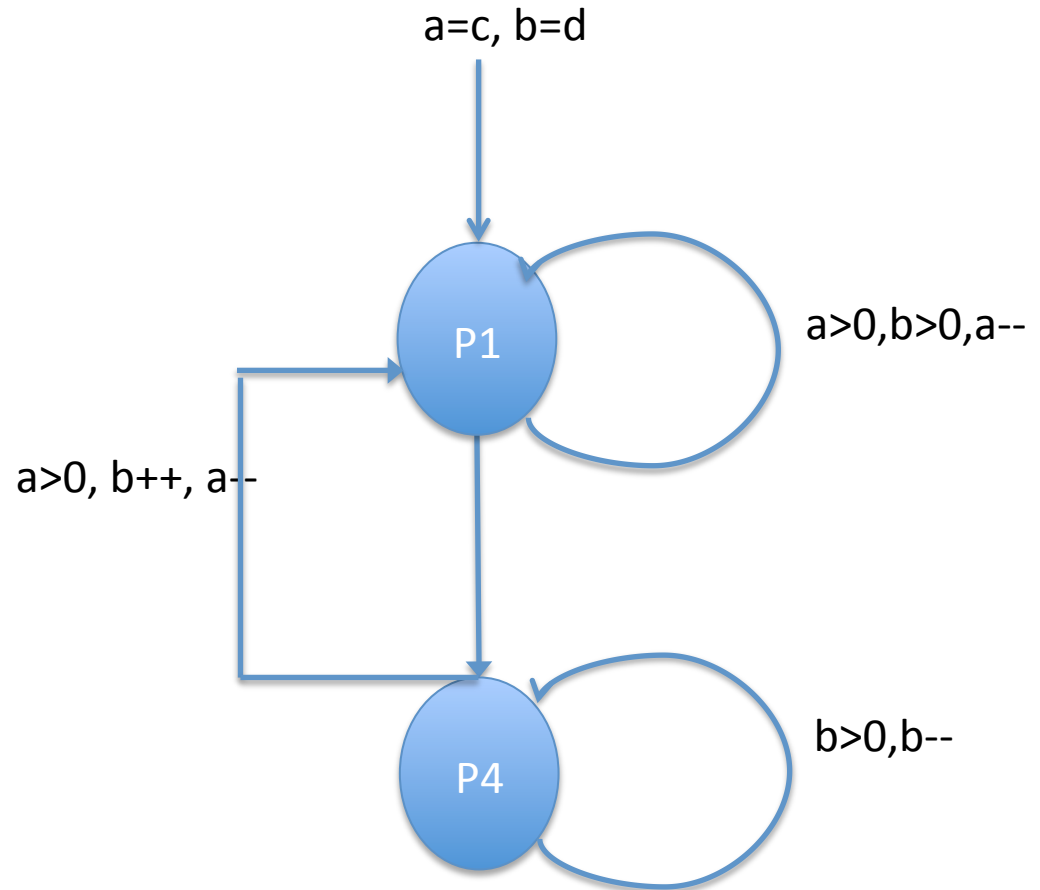
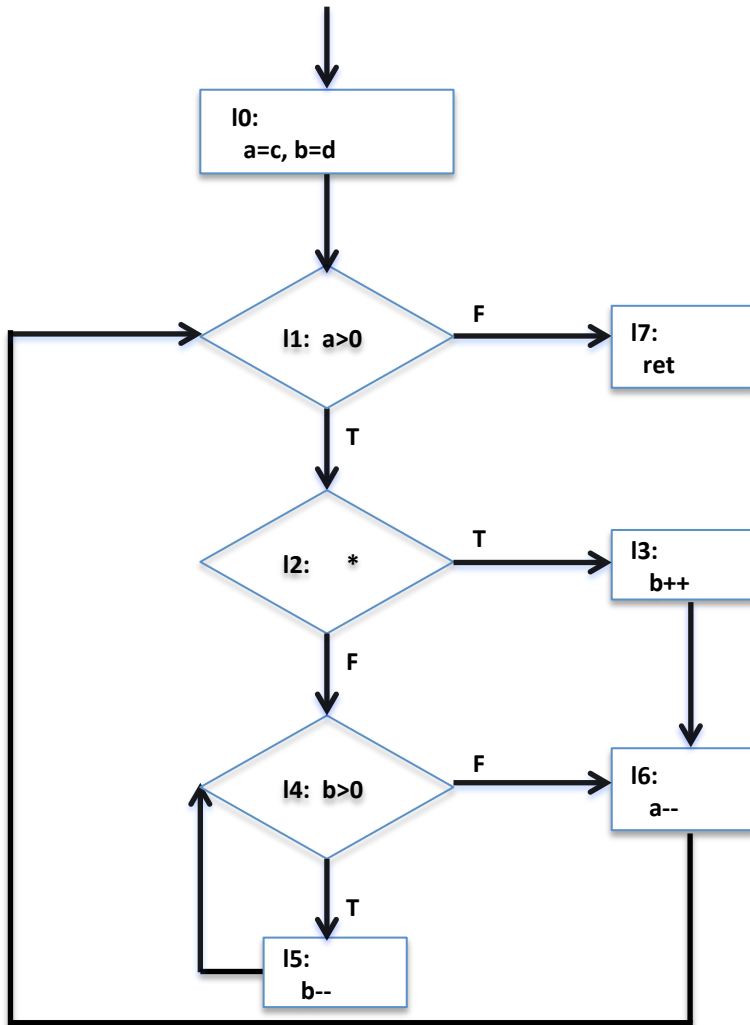
```
main( uint c,  uint d){  
0.  int a=c,b=d;  
1.  while (a>0){  
2.    if (*)  
3.      b++;  
      else  
4.      while (b>0)  
5.        b--;  
6.      a--; }  
7.  return 0;  
}
```

- **Outer loop**: can be executed at most c times
- The **counter** for the **inner loop** can be **incremented** by outer at most c times
- So **inner loop** can be executed at most $c+d$ times

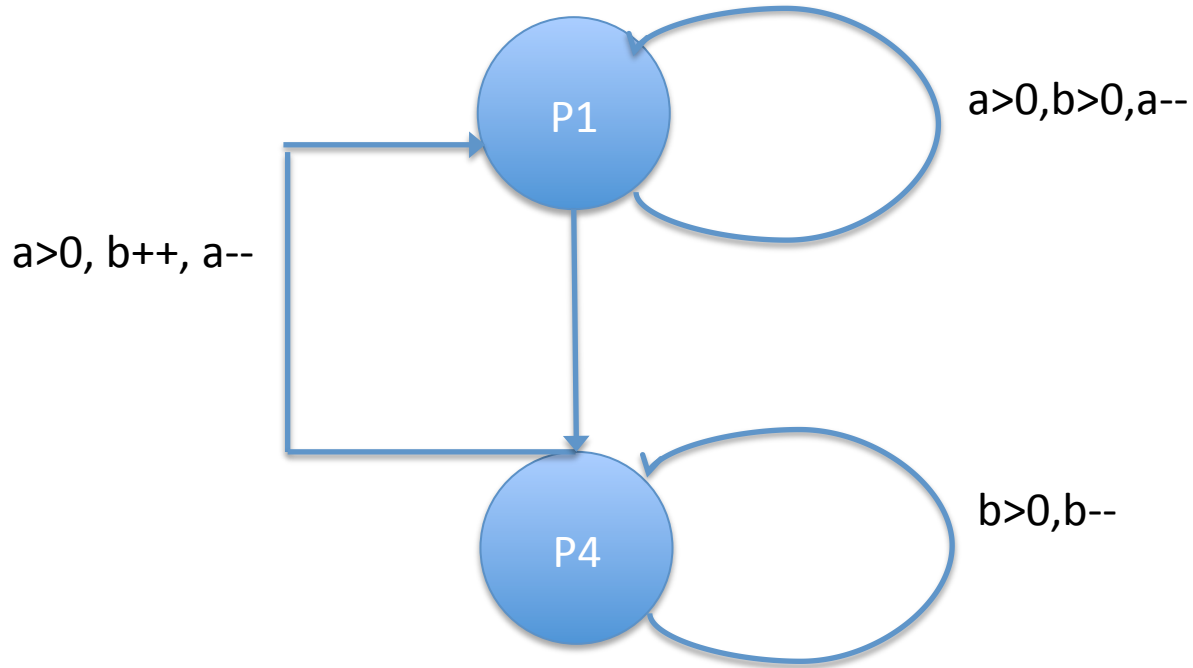
Bound=c+d

How to derive this automatically?

Single path linear constraint loops



Special form of Horn clauses (Abstraction of program)



$p1(A,B,C,D) \leftarrow A=<E-1, B=<F+1, p1(E,F,C,D)$

$p1(A,B,C,D) \leftarrow A=<E-1, B=<F, p1(E,F,C,D)$

$p4(A,B,C,D) \leftarrow A=<E, B=<F-1, p4(E,F,C,D)$

Lexicographic ranking function

T1: $p1(A,B,C,D) \leftarrow A=\langle E-1, B=\langle F+1, p1(E,F,C,D) \rangle \rangle$
T2: $p1(A,B,C,D) \leftarrow A=\langle E-1, B=\langle F, p1(E,F,C,D) \rangle \rangle$
T3: $p4(A,B,C,D) \leftarrow A=\langle E, B=\langle F-1, p4(E,F,C,D) \rangle \rangle$

- $\langle A, A, B \rangle$
- Either A is decreasing; or
- B is decreasing and A is not increasing

Bound computation

T1: $p1(A,B,C,D) \leftarrow A \leftarrow E-1, B \leftarrow F+1, p1(E,F,C,D)$

T2: $p1(A,B,C,D) \leftarrow A \leftarrow E-1, B \leftarrow F, p1(E,F,C,D)$

T3: $p4(A,B,C,D) \leftarrow A \leftarrow E, B \leftarrow F-1, p4(E,F,C,D)$

InitVal: $A=c, B=d$

Lex: $\langle A, A, B \rangle$

- $\text{Bound}(T1) = \text{InitVal}(A) = c$
- $\text{Bound}(T2) = \text{InitVal}(A) + \text{Bound}(T1) * \text{increment}(A, T1) = c + 0 = c$

Bound computation

T1: $p1(A,B,C,D) \leftarrow A \leftarrow E-1, B \leftarrow F+1, p1(E,F,C,D)$

T2: $p1(A,B,C,D) \leftarrow A \leftarrow E-1, B \leftarrow F, p1(E,F,C,D)$

T3: $p4(A,B,C,D) \leftarrow A \leftarrow E, B \leftarrow F-1, p4(E,F,C,D)$

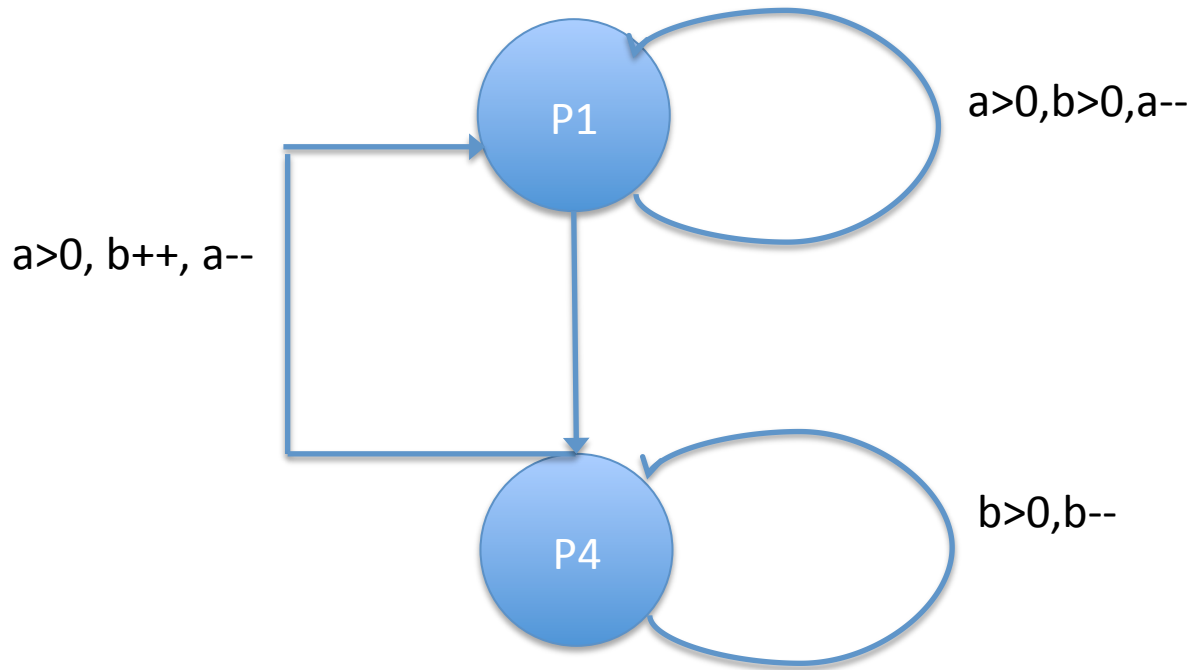
InitVal: $A=c, B=d$

Lex: $\langle A, A, B \rangle$

- $\text{Bound}(T3) = \text{InitVal}(B) +$
 $\text{Bound}(T1) * \text{increment}(B, T1) +$
 $\text{Bound}(T2) * \text{increment}(B, T2) = d + c * 1 + 0 = c + d$

Overall bound = max. bound of each transition = $c + d$

Resource consumption



$$\sum_{i \in Loops} \text{cost}_i * \text{bound}_i$$

What is the role of Invariants?

- Deriving the **special form of Horn clauses** (with difference bound constraints)
- Derives new constraints which are useful to find **updates of ranking functions**
- Computing **initial values** of ranking functions

Conclusions and Future work

- Resource analysis of C programs using Horn clauses

In the future:

- Inter-procedural bound analysis
- Generating Recurrence equation and solving them for bound computation

Thank you!

Questions & suggestions?