

# Constraint Specialisation in Horn Clause Verification

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**Goal:** specialise a set of constrained Horn clauses (program) wrt a goal

**Characteristics:**

- propagate constraints top down (from the goal) and bottom up
- without unfolding (without size blow-up)

For this we use the theory of abstract interpretation (abstraction) and query-answer transformation (specialisation).

**Key contributions:**

- method for specialising the constraints in the clauses using query-answer transformation and abstract interpretation;
- demonstrate the effectiveness of transformation by applying it to Horn clause verification problems.

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- 2 Abstract Interpretation
- 3 Constraint specialisation
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- 5 Proof techniques
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# Query-answer transformation (QA)

Simulates goal-directed computation in a goal-independent framework.

Given  $P$  and an atom  $A$ , the QA for  $P$  wrt.  $A$ , denoted  $P_A^{\text{qa}}$ , contains:

## Answer clauses

For each clause  $H \leftarrow C, B_1, \dots, B_n$  ( $n \geq 0$ ) in  $P$ ,  $P_A^{\text{qa}}$  contains the clause  $H^a \leftarrow C, H^q, B_1^a, \dots, B_n^a$ .

## Query clauses

For each clause  $H \leftarrow C, B_1, \dots, B_i, \dots, B_n$  ( $n \geq 0$ ) in  $P$ ,  $P_A^{\text{qa}}$  contains:

$$B_1^q \leftarrow C, H^q.$$

...

$$B_i^q \leftarrow C, H^q, B_1^a, \dots, B_{i-1}^a.$$

...

$$B_n^q \leftarrow C, H^q, B_1^a, \dots, B_{n-1}^a.$$

## Goal clause

$$A^q \leftarrow \text{true}.$$

# Query answer transformation (QA)

## Query clauses

For each clause  $H \leftarrow C, B_1, \dots, B_i, \dots, B_n$  ( $n \geq 0$ ) in  $P$ ,  $P_A^{\text{qa}}$  contains:

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...

$$B_i^{\text{q}} \leftarrow C, H^{\text{q}}, B_1^{\text{a}}, \dots, B_{i-1}^{\text{a}}.$$

...

$$B_n^{\text{q}} \leftarrow C, H^{\text{q}}, B_1^{\text{a}}, \dots, B_{n-1}^{\text{a}}.$$

## Goal clause

$$A^{\text{q}} \leftarrow \text{true}.$$

## Property (Correctness)

$$P \models A \text{ iff } P_A^{\text{qa}} \models A_a$$

# Query answer transformation example

- Given a clause:

$$l(A,B,C,D) \text{ :- } -A+D > 0, A-G = -1, l\_body(B,C,E,F), l(G,E,F,D).$$

QA contains the following clauses:

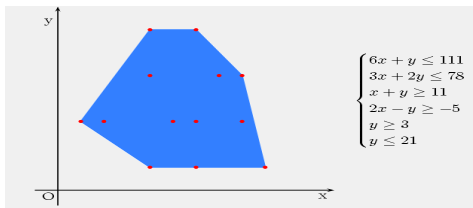
$$l\_ans(A,B,C,D) \text{ :- } l\_query(A,B,C,D), -A+D > 0, A-E = -1, \\ l\_body\_ans(B,C,F,G), l\_ans(E,F,G,D).$$
$$l\_body\_query(A,B,_,_) \text{ :- } l\_query(C,A,B,D), -C+D > 0, \\ C-_= -1.$$
$$l\_query(A,B,C,D) \text{ :- } l\_query(E,F,G,D), -E+D > 0, \\ E-A = -1, l\_body\_ans(F,G,B,C).$$

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## Convex polyhedra approximation (CPA)

- a **program analysis technique** based on **abstract interpretation**.
- when applied to  $P$  it **constructs an over-approximation  $M'$**  of the minimal model of  $P$ , where  $M'$  contains at most one **constrained fact**  $p(X) \leftarrow C$  for each predicate  $p$ .
- where the **constraint  $C$**  is a **conjunction of linear inequalities**, representing a **convex polyhedron**.



Example:  $l\_a(A,B,C,D) :- 2*B-C \geq 0, D > 0, -B+2*C \geq 0,$   
 $-B-C+3*D > -3, 3*A-B-C=0.$

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# Constraint Specialisation

The procedure is as follows: the **inputs** are a set of CHCs  $P$  and an atomic formula  $A$ .

- 1 Compute a  $P_A^{qa}$ , containing predicates  $p^q$  and  $p^a$  for each predicate  $p$  in  $P$ .
- 2 Compute an over-approximation of the model of  $P_A^{qa}$ , expressed as a set of constrained facts  $p^*(X) \leftarrow C$ , where  $*$  is  $q$  or  $a$ . We assume that each predicate  $p^*$  has exactly one constrained fact in the model
- 3 For each clause  $p(X) \leftarrow \mathcal{B}$  in  $P$ , let the model of  $p^a$  be  $p^a(X) \leftarrow C^a$  (where  $X$  is the same tuple of variables in  $p(X)$  and  $p^a(X)$ ).
- 4 Replace the clause  $p(X) \leftarrow \mathcal{B}$  in  $P$  by  $p(X) \leftarrow C^a, \mathcal{B}$  in  $P_A$ .

## Property (Correctness)

*If  $P$  is a set of CHCs and  $P_A$  is the set obtained by strengthening the clause constraints as just described, then  $P \models A \iff P_A \models A$ .*

# Example: Specialisation by constraint propagation

Computing an **over-approximation of the model of  $P_A^{qa}$** , we have the following constrained fact for predicate  $l\_ans(A, B, C, D)$ :

$$l\_ans(A, B, C, D) :- 2*B - C >= 0, D > 0, -B + 2*C >= 0, -B - C + 3*D > -3, 3*A - B - C = 0.$$

Now, strengthen

$$l(A, B, C, D) :- -A + D > 0, A - G = -1, l\_body(B, C, E, F), l(G, E, F, D).$$

by

$$l(A, B, C, D) :- 2*B - C >= 0, D > 0, -B + 2*C >= 0, -B - C + 3*D > -3, 3*A - B - C = 0, -A + D > 0, A - G = -1, l\_body(B, C, F, G), l(E, F, G, D).$$

which after simplification becomes

$$l(A, B, C, D) :- 2*A - B >= 0, -A + D > 0, -A + B >= 0, 3*A - B - C = 0, A - E = -1, l\_body(B, C, F, G), l(E, F, G, D).$$

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## Constrained Horn Clause (CHC)

A predicate logic formula,  $p(X) \leftarrow \phi \wedge p_1(X_1), \dots, p_k(X_k)$

- $\phi$  - a conjunction of constraints wrt some background theory,
- $X_i, X$  are (possibly empty) vectors of distinct variables,
- $p_1, \dots, p_k, p$  are predicate symbols,
- $p(X)$  is the head of the clause and
- $\phi \wedge p_1(X_1) \wedge \dots \wedge p_k(X_k)$  is the body.

## Integrity constraints

**false**  $\leftarrow \phi \wedge p_1(X_1), \dots, p_k(X_k)$ .

# Horn clause verification problem

## CHC verification problem

- given a set of CHCs  $P$  (including integrity constraints encoding safety properties),
- does  $P$  have a model?

## CHC and CLP

- CHC is a terminology for CLP used by program verification community;
- Unlike CLP, CHCs are not always regarded as executable programs, but rather as specifications or semantic representations of other formalisms;
- but the semantic equivalence of CHC and CLP means that techniques developed in one framework are applicable to the other.

So we exploit the following results from CLP for verification of CHCs

- There exists a minimal model,  $M[[P]]$ , wrt the subset ordering,
- $M[[P]]$  is equivalent to the set of atomic consequences of  $P$  (model vs. proof)

## Lemma 1

$P$  has a model if and only if  $P \not\equiv \text{false}$ .

## Lemma 2

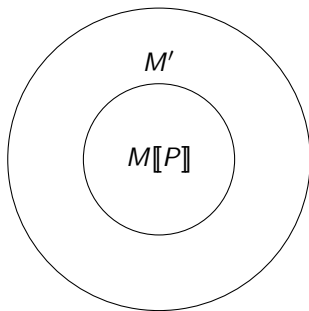
$P$  has a model if and only if  $\text{false} \notin M[[P]]$ .



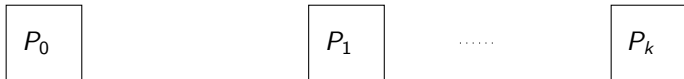
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# Proof by over-approximation of the minimal model

- It is **sufficient** to find a set of **constrained facts**  $M'$  such that  $M[[P]] \subseteq M'$ , where  $\text{false} \notin M'$  (safe).
- If  $\text{false} \in M'$  and there is a **feasible** computation for false in  $P$  then  $P$  is **unsafe** (has bug).
- Feasibility can be checked using decision procedures (e.g. SMT solvers ).
- Otherwise we **don't know** (precision loss – refinement).

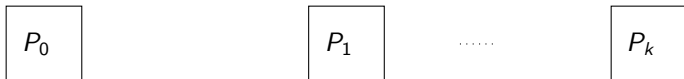


Given  $P_0$  and an atom  $A$ , we wish to prove  $A$  is not a consequence of  $P_0$



$P_k$  contains no clause with head  $A$

we wish to prove  $A$  is a consequence of  $P_0$



$P_k$  contains a clause with head  $A \leftarrow true$

- $P \models A$  if and only if  $P' \models A$ ,  $P'$  is a specialisation of  $P$ .

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## benchmarks

- 218 (181 safe and 37 unsafe) problems
- [repository of SV benchmarks](#)<sup>a</sup> and
- [other sources](#) including Gupta et al. (2009) [Invgen], Jaffar et al. (2012) [TRACER], De Angelis et al. (2014) [VeriMap] etc.

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<sup>a</sup><https://svn.sosy-lab.org/software/sv-benchmarks/trunk/clauses/>

## environment

- Implementation: [32-bit Ciao Prolog](#)<sup>a</sup> with [Parma Polyhedra Library](#) (Bagnara et al. (2008))
- Computer: Intel(R) X5355 having 4 processors (each @ 2.66GHz) and total memory of 6 GB. Debian 5 (64 bit) - OS,
- we set [5 minutes of timeout](#) for each experiment.

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<sup>a</sup><http://ciao-lang.org/>

# Experimental results

	CPA	CS + CPA	QARMC	CS + QARMC
solved (safe/unsafe)	61 (48/13)	162 (144/18)	178 (141/37)	205 (171/34)
unknown / timeout	144/12	49/7	-/40	-/13
total time (secs)	2317	1303	13367	2613
average time (secs)	10.62	5.97	61.31	11.98
%solved	27.98	74.31	81.65	94.04

QARMC (Grebenshchikov et al. PLDI12) is a verification tool based on Counter Example Guided Abstraction Refinement (CEGAR) and uses interpolation.

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Specialisation enhances the precision of our tool.

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Specialisation serves as a pre-processor to other tools.



- The results show that **constraint specialisation is effective** in practice.
- We report that **109 out of 218, that is 50%, of the problems are solved by constraint specialisation alone.**
- When used **as a pre-processor** for other **verification tools**, the results show **improvements** on both the **number of instances solved** and **the solution time.**

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## Conclusion:

- We introduced a **method for specialising the constraints** in constrained Horn clauses with respect to a goal using **abstract interpretation** and *query-answer transformation*.
- The approach **propagates constraints** globally, both **forwards and backwards**, and makes explicit constraints from the original program.
- It is a **simple and generic approach** which is **independent of the abstract domain** and the **constraints theory underlying the clauses**.

- Finally, we showed **effectiveness** of this transformation in Horn clause verification problems.

## Future work:

- we will continue to **evaluate its effectiveness** in a larger set of **benchmarks** and as a **pre-processor** for other existing tools.

## Availability of the tool:

- soon we will make the tool available either as a **stand-alone program** or as an **web interface**.

**Thanks for your attention!**