

4.1 Introduction



- Chapter 1 presented a general overview of computer systems.
- In Chapter 2, we discussed how data is stored and manipulated by various computer system components.
- Chapter 3 described the fundamental components of digital circuits.
- Having this background, we can now understand how computer components work, and how they fit together to create useful computer systems.

Chapter 4 Objectives



- Be able to explain how each component contributes to program execution.
- Understand a simple architecture invented to illuminate these basic concepts, and how it relates to some real architectures.
- Know how the program assembly process works.

4.2 CPU Basics

- The computer's CPU fetches, decodes, and executes program instructions.
- The two principal parts of the CPU are the *datapath* and the *control unit*.
 - The datapath consists of an arithmetic-logic unit and storage units (registers) that are interconnected by a data bus that is also connected to main memory.
 - Various CPU components perform sequenced operations according to signals provided by its control unit.

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4.2 CPU Basics



- Registers hold data that can be readily accessed by the CPU.
- They can be implemented using D flip-flops.
 - A 32-bit register requires 32 D flip-flops.
- The arithmetic-logic unit (ALU) carries out logical and arithmetic operations as directed by the control unit.
- The control unit determines which actions to carry out according to the values in a program counter register and a status register.

4.3 The Bus

- The CPU shares data with other system components by way of a data bus.
 - A bus is a set of wires that simultaneously convey a single bit along each line.
- Two types of buses are commonly found in computer systems: *point-to-point*, and *multipoint* buses.

This is a point-to-point bus configuration:

Serial Port		Modem
ALU	7	Control Unit

4.3 The Bus



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- Buses consist of data lines, address lines, and control lines.
- While the data lines convey bits from one device to another, control lines determine the direction of data flow, and when each device can access the bus.
- Address lines determine the location of the source or destination of the data.

The next slide shows a model bus configuration.

<section-header><section-header><section-header><image><image><image>

4.3 The Bus



- · A multipoint (common pathway) bus is shown below.
- Because a multipoint bus is a shared resource, access to it is controlled through protocols, which are built into the hardware.



4.3 The Bus

- In a master-slave configuration, where more than one device can be the bus master, concurrent bus master requests must be arbitrated.
- · Four categories of bus arbitration are:
- Daisy chain: Permissions are passed from the highestpriority device to the lowest. –
- **Centralized parallel:** Each device is directly connected to an arbitration circuit.
- Distributed using self-detection: Devices decide which gets the bus among themselves.
- Distributed using collisiondetection: Any device can try to use the bus. If its data collides with the data of another device, it tries again. Used in ethernet.

Arbitrated: decided 10

Bus Arbitration - Daisy Chain



- · Any device can send a bus request
- The controller sends a grant along the daisy chain
- The highest priority device sets the bus busy, stops the grant signal, and becomes the bus master

Bus Arbitration – Centralized Parallel



- · Independent bus request and grant lines
- The controller resolves the priorities and sends a grant to the highest priority device

4.4 Clocks



- Every computer contains at least one clock that synchronizes the activities of its components.
- A fixed number of clock cycles are required to carry out each data movement or computational operation.
- The clock frequency, measured in megahertz or gigahertz, determines the speed with which all operations are carried out.
- Clock cycle time is the reciprocal of clock frequency.
 An 800 MHz clock has a cycle time of 1.25 ns.
- The clock cycle time must be at least as great as the maximum propagation delay.

4.4 Clocks

- Clock speed should not be confused with CPU performance.
- The CPU time required to run a program is given by the general performance equation:

 $\texttt{CPU Time} = \frac{\texttt{seconds}}{\texttt{program}} = \frac{\texttt{instructions}}{\texttt{program}} \times \frac{\texttt{avg. cycles}}{\texttt{instruction}} \times \frac{\texttt{seconds}}{\texttt{cycle}}$

 We see that we can improve CPU throughput when we reduce the number of instructions in a program, reduce the number of cycles per instruction, or reduce the number of nanoseconds per clock cycle.

We will return to this important equation in later chapters.

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4.5 The Input/Output Subsystem



- A computer communicates with the outside world through its input/output (I/O) subsystem.
- I/O devices connect to the CPU through various interfaces.
- I/O can be memory-mapped, where the I/O device behaves like main memory from the CPU's point of view.
- Or I/O can be instruction-based, where the CPU has a specialized I/O instruction set.

We study I/O in detail in chapter 7.





- · Device addresses are a part of memory address space
- Use same Load/Store instructions to access I/O addresses
- Multiplex memory and I/O addresses on the same bus, using control lines to distinguish between the two operations

Instruction-based I/O



- Requires a set of I/O instructions: Read/Write
- I/O address space is separated from memory address space
 - Memory connects to CPU through memory buses
 - · address, data, and control/status buses
 - Devices communicates with CPU over I/O buses

4.6 Memory Organization



- Computer memory consists of a linear array of addressable storage cells that are similar to registers.
- Memory can be byte-addressable, or word-addressable, where a word typically consists of two or more bytes. Most current machines are byte-addressable.
- Memory is constructed of RAM chips, often referred to in terms of length × width.
- If the memory word size of the machine is 16 bits, then a 4M × 16 RAM chip gives us 4 million of 16-bit memory locations.

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4.6 Memory Organization



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- How does the computer access a memory location that corresponds to a particular address?
- We observe that 4M can be expressed as $2^2 \times 2^{20} = 2^{22}$ words.
- The memory locations for this memory are numbered 0 through 2²²-1.
- Thus, the memory bus of this system requires at least 22 address lines.
 - The address lines "count" from 0 to 2²² 1 in binary.
 Each line is either "on" or "off" indicating the location of the desired memory element.

4.6 Memory Organization

- Physical memory usually consists of more than one RAM chip.
- Access is more efficient when memory is organized into banks (modules) of chips with the addresses interleaved across the chips
- With low-order interleaving, the low order bits of the address specify which memory bank contains the address of interest.
- Accordingly, in high-order interleaving, the high order address bits specify the memory bank.

The next slide illustrates these two ideas.



4.6 Memory Organization

Мо	Module 0 Module 1 Module 2 Module 3 Module 4 Module 5 Module 6 Module 7 0 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23															
	24		25		26		27		28		29		30		31	
L		L		L					· · ·							
					Lo	ow-	Order I	nte	rleavin	g						
м	odule	0 14	lodule	1 M	odule	2 №	Iodule	З М	fodule	4 M	Iodule	5 M	odule	6 М	odule '	7
	0]	4		8		12		16		20		24		28	
	1		5		9		13		17		21		25		29	
	2		6		10		14		18		22		26		30	
	3		7		11		15		19		23		27		31	
		1	L	J				1		1						
					Hi	gn-	Order	Inte	erleavir	ng					21	

High-order Interleaving

Module 0 Module 1 Module 2 Module 3 Module 4 Module 5 Module 6 Module 7

0	4	8	12	16	20	24	28
1	5	9	13	17	21	25	29
2	6	10	14	18	22	26	30
3	7	11	15	19	23	27	31

- Advantages
 - Data and instructions are stored in different banks
 - The next instruction can be fetched from the instruction bank, while the data for the current instruction is being fetched from the data bank
 - If one bank fails, the other banks provide continuous memory space
- Disadvantages
 - Limits the instruction fetch to one instruction per memory cycle when executing the sequential program

High-order Interleaving



- *M* banks and each bank contains *N* words
- Memory Address Register (MAR) contain *m* + *n* bits
 - The most significant *m* bits of MAR are decoded to select one of the banks
 - The rest significant *n* bits are used to select a word in the selected bank (the offset within that bank)

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Low-order Interleaving

Spread the subsequent addresses to separate banks
 Using the least significant *m* bits to select the bank



Low-order Interleaving

Module 0 Module 1 Module 2 Module 3 Module 4 Module 5 Module 6 Module

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31

• Advantages

 Access the next word while the current word is being accesses (array elements can be accessed in parallel)

• Disadvantages

- If one of the banks (modules) fails, the complete memory fails

Low-order interleaving is the most common arrangement

4.6 Memory Organization



- Example: Suppose we have a memory consisting of 16 2K x 8 bit chips.
 - Memory is 32K = 2⁵ × 2¹⁰ = 2¹⁵

15 bits are needed for each

We need 4 bits to select the

chip, and 11 bits for the offset

into the chip that selects the

address.

byte.

Row 0 $2K \times 8$ Row 1 $2K \times 8$ $\bullet \bullet \bullet$ Row 15 $2K \times 8$

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4.7 Interrupts

- The normal execution of a program is altered when an event of higher-priority occurs. The CPU is alerted to such an event through an interrupt.
- Interrupts can be triggered by I/O requests, arithmetic errors (such as division by zero), or when an invalid instruction is encountered. These actions require a change in the normal flow of the program's execution.
- Each interrupt is associated with a procedure that directs the actions of the CPU when an interrupt occurs.
 - Nonmaskable interrupts are high-priority interrupts that cannot be ignored.

4.6 Memory Organization

- In high-order interleaving the high-order 4 bits select the chip.
- In low-order interleaving the low-order 4 bits select the chip.





- We can now bring together many of the ideas that we have discussed to this point using a very simple model computer.
- Our model computer, the Machine Architecture that is Really Intuitive and Easy, MARIE, was designed for the singular purpose of illustrating basic computer system concepts.
- While this system is too simple to do anything useful in the real world, a deep understanding of its functions will enable you to comprehend system architectures that are much more complex.

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4.8 MARIE

The MARIE architecture has the following characteristics:

- Binary, two's complement data representation.
- Stored program, fixed word length data and instructions.
- 4K words of word (but not byte) addressable main memory.
- 16-bit data words.
- 16-bit instructions, 4 for the opcode and 12 for the address.
- A 16-bit arithmetic logic unit (ALU).
- Seven registers for control and data movement.

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MARIE's seven registers are:

4.8 MARIE

- Accumulator, AC, a 16-bit register that holds one operand of a two-operand instruction or a conditional operator (e.g., "less than").
- Memory address register, MAR, a 12-bit register that holds the memory address of an instruction or the operand of an instruction.
- Memory buffer register, MBR, a 16-bit register that holds the data after its retrieval from, or before its placement in memory.

4.8 MARIE

MARIE's seven registers are:

- Program counter, PC, a 12-bit register that holds the address of the next program instruction to be executed.
- Instruction register, IR, which holds an instruction immediately preceding its execution.
- Input register, InREG, an 8-bit register that holds data read from an input device.
- Output register, OutREG, an 8-bit register, that holds data that is ready for the output device.

This is the MARIE architecture shown graphically.





4.8 MARIE

- The registers are interconnected, and connected with main memory through a common data bus.
- Each device on the bus is identified by a unique number that is set on the control lines whenever that device is required to carry out an operation.
- Separate connections are also provided between the accumulator and the memory buffer register, and the ALU and the accumulator and memory buffer register. This permits data transfer between these devices without use of the main data bus.

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4.8 MARIE

- A computer's instruction set architecture (ISA) specifies the format of its instructions and the primitive operations that the machine can perform.
- The ISA is an interface between a computer's hardware and its software.
- Some ISAs include hundreds of different instructions for processing data and controlling program execution.
- The MARIE ISA consists of only nine instructions.



 This is the format of a MARIE instruction:

Орс	ode		Address						I				
Bit 5	Bit 12	Bit 11					_					Bit 0	

The fundamental MARIE instructions are:

Instruction	Number		
Binary	Hex	Instruction	Meaning
0001	1	Load X	Load contents of address X into AC.
0010	2	Store X	Store the contents of AC at address X.
0011	3	Add X	Add the contents of address X to AC.
0100	4	Subt X	Subtract the contents of address X from AC.
0101	5	Input	Input a value from the keyboard into AC.
0110	6	Output	Output the value in AC to the display.
0111	7	Halt	Terminate program.
1000	8	Skipcond	Skip next instruction on condition.
1001	9	Jump X	Load the value of X into PC.

Bit 15

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4.8 MARIE

 This is a bit pattern for a Load instruction as it would appear in the IR:



• We see that the opcode is 1 and the address from which to load the data is 3

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4.8 MARIE



• This is a bit pattern for a **Skipcond** instruction as it would appear in the IR:

c	pc	ode	;	Г				а	ddr	ess	8					
1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	
15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	0	

• We see that the opcode is 8 and bits 11 and 10 are 10, meaning that the next instruction will be skipped if the value in the AC is greater than zero.

What is the hexadecimal representation of this instruction?



- · Each of our instructions actually consists of a sequence of smaller instructions called microoperations.
- · The exact sequence of microoperations that are carried out by an instruction can be specified using register transfer language (RTL).
- In the MARIE RTL, we use the notation M[X] to indicate the actual data value stored in memory location X, and \leftarrow to indicate the transfer of bytes to a register or memory location.



• The RTL for the Load instruction is:

 $\begin{array}{l} \mathsf{MAR} \leftarrow \mathbf{X} \\ \mathsf{MBR} \leftarrow \mathsf{M}[\mathsf{MAR}] \\ \mathsf{AC} \leftarrow \mathsf{MBR} \end{array}$

• Similarly, the RTL for the Add instruction is:

 $\begin{array}{l} \text{MAR} \leftarrow \text{ X} \\ \text{MBR} \leftarrow \text{M[MAR]} \\ \text{AC} \leftarrow \text{AC} + \text{MBR} \end{array}$

4.9 Instruction Processing



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- The *fetch-decode-execute cycle* is the series of steps that a computer carries out when it runs a program.
- We first have to *fetch* an instruction from memory, and place it into the IR.
- Once in the IR, it is *decoded* to determine what needs to be done next.
- If a memory value (operand) is involved in the operation, it is retrieved and placed into the MBR.
- With everything in place, the instruction is *executed*.

The next slide shows a flowchart of this process.

4.8 MARIE

- Recall that **Skipcond** skips the next instruction according to the value of the AC.
- The RTL for the this instruction is the most complex in our instruction set:

If IR[11 - 10] = 00 then If AC < 0 then $PC \leftarrow PC + 1$ else If IR[11 - 10] = 01 then If AC = 0 then $PC \leftarrow PC + 1$ else If IR[11 - 10] = 10 then If AC > 0 then $PC \leftarrow PC + 1$

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4.9 Instruction Processing



4.9 Instruction Processing



- All computers provide a way of interrupting the fetch-decode-execute cycle.
- Interrupts occur when:
 - A user break (e.g., Control+C) is issued
 - $-\,$ I/O is requested by the user or a program
 - A critical error occurs
- Interrupts can be caused by hardware or software.
 - Software interrupts are also called *traps*.

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4.9 Instruction Processing

• Interrupt processing involves adding another step to the fetch-decode-execute cycle as shown below.



The next slide shows a flowchart of "Process the interrupt"

4.9 Instruction Processing

- For general-purpose systems, it is common to disable all interrupts during the time in which an interrupt is being processed.
 - Typically, this is achieved by setting a bit in the flags register.
- Interrupts that are ignored in this case are called *maskable*.
- Nonmaskable interrupts are those interrupts that must be processed in order to keep the system in a stable condition.

4.9 Instruction Processing



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4.9 Instruction Processing



- Interrupts are very useful in processing I/O.
- However, interrupt-driven I/O is complicated, and is beyond the scope of our present discussion.
 - We will look into this idea in greater detail in Chapter 7.
- MARIE, being the simplest of simple systems, uses a modified form of programmed I/O.
- All output is placed in an output register, OutREG, and the CPU polls the input register, InREG, until input is sensed, at which time the value is copied into the accumulator.

Polling: actively sampling the status of an external device 49

Programmed I/O

- I/O instructions are written in a computer program that are executed by the CPU
- · CPU will initiate the data transfer
- The transfer is usually between a register in the CPU and the device.
 - The data is put into the register from memory or from the device.
- CPU must wait for I/O to complete before sending or receiving next data.
 - It must constantly check status registers to see if the device is ready for more data.

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4.10 A Simple Program



 Consider the simple MARIE program given below.
 We show a set of mnemonic instructions stored at addresses 100 - 106 (hex):

Address	Instruction	Binary Contents of Memory Address	Hex Contents of Memory
100	Load 104	0001000100000100	1104
101	Add 105	0011000100000101	3105
102	Store 106	0100000100000110	4106
103	Halt	01110000000000000	7000
104	0023	0000000000100011	0023
105	FFE9	1111111111101001	FFE9
106	0000	000000000000000000000000000000000000000	0000



- Let's look at what happens inside the computer when our program runs.
- This is the Load 104 instruction:

Step	RTN	PC	IR	MAR	MBR	AC
(initial values)		100				
Fetch	MAR ← PC	100		100		
	IR ← M[MAR]	100	1104	100		
	PC ← PC + 1	101	1104	100		
Decode	MAR ← IR[11-0]	101	1104	104		
	(Decode IR[15-12])	101	1104	104		
Get operand	MBR ← M[MAR]	101	1104	104	0023	
Execute	AC ← MBR	101	1104	104	0023	0023

4.10 A Simple Program



• Our second instruction is Add 105:

Step	RTN	PC	IR I	MAR	MBR	AC
(initial values)		101	1104	104	0023	0023
Fetch	MAR ← PC	101	1104	101	0023	0023
	IR ← M[MAR]	101	3105	101	0023	0023
	PC ← PC + 1	102	3105	101	0023	0023
Decode	MAR ← IR[11-0]	102	3105	105	0023	0023
	(Decode IR[15-12])	102	3105	105	0023	0023
Get operand	MBR ← M[MAR]	102	3105	105	FFE9	0023
Execute	$AC \leftarrow AC + MBR$	102	3105	105	FFE9	000C

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4.11 A Discussion on Assemblers

- Assemblers create an object program file from mnemonic source code in two passes.
- During the first pass, the assembler assembles as much of the program as it can, while it builds a symbol table that contains memory references for all symbols in the program.
- During the second pass, the instructions are completed using the values from the symbol table.

4.11 A Discussion on Assemblers

- Mnemonic instructions, such as Load 104, are easy for humans to write and understand.
- They are impossible for computers to understand.
- Assemblers translate instructions that are comprehensible to humans into the machine language that is comprehensible to computers
 - We note the distinction between an assembler and a compiler: In assembly language, there is a one-to-one correspondence between a mnemonic instruction and its machine code. With compilers, this is not usually the case.

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4.11 A Discussion on Assemblers

- Consider our example program (top).
 - Note that we have included two directives HEX and DEC that specify the radix of the constants.
- During the first pass, we have a symbol table and the partial instructions shown at

the bottom.

Х	104	
Y	105	
Z	106	

Addre	SS	Instruction				
100		Load	х			
101		Add	Y			
102		Store	Z			
103		Halt				
104	Х,	DEC	35			
105	Υ,	DEC	-23			
106	Ζ,	HEX	0000			

1		Х	2
3		Y	•
2		Z	
7	0	0	0

4.11 A Discussion on Assemblers



 After the second pass, the 			Address		Instruction			
ass	embly is co	mple	ete.		100		Load	х
					101		Add	Y
	1104				102		Store	Z
	3105				103		Halt	
		ł			104	х,	DEC	35
	2106				105	Υ,	DEC	-23
	7000				106	z,	HEX	0000
	0023		x	104]			
	FFE 9		Y	105	1			
	0000		Z	106]			

4.12 Extending Our Instruction Set



- We have included three indirect addressing mode instructions in the MARIE instruction set.
- The first two are LOADI **x** and **STOREI x** where **x** specifies the address of the address of the operand to be loaded or stored.
- In RTL :

•	$MAR \leftarrow X$	$\mathtt{MAR} \leftarrow \mathtt{X}$		
	MBR ← M[MAR]	$MBR \leftarrow M[MAR]$		
	$\mathbf{MAR} \leftarrow \mathbf{MBR}$	$MAR \leftarrow MBR$		
	$MBR \leftarrow M[MAR]$	$MBR \leftarrow AC$		
	$AC \leftarrow MBR$	$M[MAR] \leftarrow MBR$		
	LOADI X	STOREI X		
			59	

4.12 Extending Our Instruction Set

- So far, all of the MARIE instructions that we have discussed use a direct addressing mode.
 - This means that the address of the operand is explicitly stated in the instruction.
- It is often useful to employ a *indirect addressing*, where the address of the address of the operand is given in the instruction.
 - If you have ever used pointers in a program, you are already familiar with indirect addressing.

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4.12 Extending Our Instruction Set

The ADDI instruction is a combination of LOADI \mathbf{x} and ADD \mathbf{x} :

In RTL:

 $\begin{array}{l} \text{MAR} \leftarrow \text{ X} \\ \text{MBR} \leftarrow \text{ M}[\text{MAR}] \\ \text{MAR} \leftarrow \text{ MBR} \\ \text{MBR} \leftarrow \text{ M}[\text{MAR}] \\ \text{AC} \leftarrow \text{AC} + \text{ MBR} \end{array}$

4.12 Extending Our Instruction Set



- Another helpful programming tool is the use of subroutines.
- The jump-and-store instruction, JnS, causes an unconditional branch. The details of the JnS instruction are given by the following RTL:

 $\begin{array}{l} \text{MBR}\leftarrow \text{PC} \\ \text{MAR}\leftarrow \text{X} \\ \text{M}\left[\text{MAR}\right]\leftarrow \text{MBR} \\ \text{MBR}\leftarrow \text{X} \\ \text{AC}\leftarrow 1 \\ \text{AC}\leftarrow \text{AC} + \text{MBR} \\ \text{PC}\leftarrow \text{AC} \end{array}$

Does JnS permit recursive calls?

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4.12 Extending Our Instruction Set

• The jump indirect instruction, JumpI, causes an unconditional branch to the address found at the given location. The details of the JumpI instruction are given by the following RTL:

 $\begin{array}{l} \textbf{MAR} \leftarrow \textbf{X} \\ \textbf{MBR} \leftarrow \textbf{M[MAR]} \\ \textbf{PC} \leftarrow \textbf{MBR} \end{array}$

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4.12 Extending Our Instruction Set



 How to use JnS and JumpI for implementing subroutines.



4.12 Extending Our Instruction Set

- Our last helpful instruction is the Clear instruction.
- All it does is set the contents of the accumulator to all zeroes.
- This is the RTL for Clear:

$AC \leftarrow 0$

• We put our new instructions to work in the program on the following slide.

4.12 Extending Our Instruction Set

100		Load Addr	10E	1	Skip	cond 000
101		Store Next	10F	T	Jump	Loop
102		Load Num	110		Halt	
103		Subt One	111	Addr,	HEX	117
104		Store Ctr	112	Next,	HEX	0
105	Loop,	Load Sum	113	Num,	DEC	5
106		AddI Next	114	Sum,	DEC	0
107		Store Sum	115	Ctr,	HEX	0
108		Load Next	116	One,	DEC	1
109		Add One	117		DEC	10
10A		Store Next	118		DEC	15
10B		Load Ctr	119		DEC	2
10C		Subt One	11A		DEC	25
10D		Store Ctr	11B		DEC	30

Using a loop to add five numbers (10 + 15 + 2 + 25 + 30)

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4.12 Extending Our Instruction Set

Instruction Number (hex)	Instruction	Meaning
0 A B	JnS X Clear AddI X	Store the PC at address X and jump to X + 1. Put all zeros in AC. Add indirect: Go to address X. Use the value at X as the actual address of the data operand to add to AC.
С	JumpI X	Jump indirect: Go to address X. Use the value at X as the actual address of the location to jump to.

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End of Chapter 4